

Electronic Acknowledgement Receipt

EFS ID:	5497179
Application Number:	10699859
International Application Number:	
Confirmation Number:	5126
Title of Invention:	Electronic systems and methods for providing a trading interface with advanced features
First Named Inventor/Applicant Name:	Howard W. Lutnick
Customer Number:	63710
Filer:	Glen Farbanish/David Postolski
Filer Authorized By:	Glen Farbanish
Attorney Docket Number:	02-1078
Receipt Date:	11-JUN-2009
Filing Date:	31-OCT-2003
Time Stamp:	12:55:04
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	19217
Deposit Account	503938
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	02-1078_090611_IDS.pdf	26087	no	3
			9d4bb8294209077348bdc8a2621313bce2c94d23		
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Filed (SB/08)	02-1078_090611_1449.pdf	27224	no	1
			8fa6267e327ff1675ae16725bfdb2ff782e81e9d		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	NPL Documents	MAOI_NPL.pdf	209522	no	3
			10267f064cd0f7d19fe252a26f83c462c51d5a5e		
Warnings:					
Information:					
4	NPL Documents	98-1007CIP-C2_090416_OA.pdf	337276	no	10
			9a30714b26cf264749ff27b4a13e343aef38a74f		
Warnings:					
Information:					
5	NPL Documents	03-1086_090506_Final_Office_Action.pdf	588984	no	15
			070720a4246ecfd073c7de5a3a90a0852258d038		
Warnings:					
Information:					
6	NPL Documents	06-1101_090410_Final_Office_Action.pdf	288375	no	10
			ba55ef88fe095c8bdd7e42925f797c2cab5143c5		
Warnings:					
Information:					
7	NPL Documents	96-1007-1-C1_090512_3mo_Non-Final_Office_Action.pdf	268951	no	10
			8355dc09fa1990946c144143d43cefea1f2a50c		
Warnings:					
Information:					

8	NPL Documents	07-2101_090505_Final_Office_Action.pdf	826766 8eb4a322ba488e5ee44972bbe9e49a637433215b	no	26
Warnings:					
Information:					
9	Fee Worksheet (PTO-875)	fee-info.pdf	30123 0fa4321fc0e19ce65355fffdce5a3fa5c36c930e	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			2603308		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					